

Appendix A

Appendix A: Daubert Motion Filings & Defendants' Redactions

ECF No.	Exhibit Number	Filing Name	Proposed Redactions (Yes/No)
532		Defs.' Motion to Exclude SEC Expert No. 2	No
533		Defs.' Mem. of Law re: SEC Expert No. 2	No
534		Gressel Declaration	No
534-1		Exhibit 1	No
534-2		Exhibit 2	No
537		Defs.' Motion to Exclude SEC Expert No. 3	No
538		Defs.' Mem. of Law re: SEC Expert No. 3	No
539		Ceresney Declaration	No
539-1	J	Exhibit 1¹ <i>[Duplicate of ECF No. 548-1]</i>	Yes
539-2	L	Exhibit 2	Yes
539-3		Exhibit 3	No
539-4	G	Exhibit 4 <i>[Duplicate of ECF No. 548-22]</i>	Yes
540		Defs.' Motion to Exclude SEC Expert No. 4	No
541		Defs.' Mem. of Law re: SEC Expert No. 4	No
542		Ford Declaration	No
542-1		Exhibit A	No
542-2		Exhibit B	No
542-3		Exhibit C	No
542-4		Exhibit D	No
542-5		Exhibit E	No
542-6		Exhibit F	No
542-7		Exhibit G	No
542-8		Exhibit H	No
542-9		Exhibit I	No
543		Defs.' Motion to Exclude SEC Expert No. 1	No
544		Defs.' Mem. of Law re: SEC Expert No. 1	No
545		Oppenheimer Declaration	No
545-1		Exhibit A	No

¹ Certain exhibits attached to the Defendants' *Daubert* Motions are identical to the exhibits attached to the SEC's *Daubert* Motion, and vice versa; those exhibits are indicated in this Appendix. *See, e.g.*, ECF Nos. 539-1 & 548-1 (Amended Expert Report of SEC Expert No. 3). For exhibits that were attached by both parties to their *Daubert* Motions, Defendants have submitted one exhibit with proposed redactions as to both ECF-filed documents. For example, Defendants' proposed redactions to the Amended Expert Report of SEC Expert No. 3, at **Exhibit J**, applies to ECF No. 539-1 and ECF No. 548-1, which are the same document.

ECF No.	Exhibit Number	Filing Name	Proposed Redactions (Yes/No)
545-2		Exhibit B	No
545-3		Exhibit C	No
545-4	F	Exhibit D <i>[Duplicate of ECF No. 548-21]</i>	Yes
545-5		Exhibit E	No
545-6	M	Exhibit F	Yes
545-7	N	Exhibit G <i>[Duplicate of ECF No. 548-3]</i>	Yes
545-8		Exhibit H	No
545-9		Exhibit I	No
546		Defs.' Motion to Exclude SEC Expert No. 5	No
547		Defs.' Mem. of Law re: SEC Expert No. 5	No
549		Kim Declaration	No
549-1		Exhibit A	No
549-2	O	Exhibit B <i>[Duplicate of ECF No. 548-35]</i>	Yes
549-3		Exhibit C	No
549-4		Exhibit D	No
549-5		Exhibit E	No
549-6		Exhibit F	No
549-7		Exhibit G	No
535		SEC's Omnibus Motion to Exclude	No
536		SEC's Mem. of Law	No
548		Guerrier Declaration	No
548-1	J	Exhibit 1 <i>[Duplicate of ECF No. 539-1]</i>	Yes
548-2		Exhibit 2	No
548-3	N	Exhibit 3 <i>[Duplicate of ECF No. 545-7]</i>	Yes
548-4		Exhibit 4	No
548-5		Exhibit 5	No
548-6		Exhibit 6	No
548-7		Exhibit 7	No
548-8	B	Exhibit 8	Yes
548-9	A	Exhibit 9	Yes
548-10		Exhibit 10	No
548-11		Exhibit 11	No
548-12		Exhibit 12	No
548-13		Exhibit 13	No
548-14		Exhibit 14	No
548-15		Exhibit 15	No
548-16	E	Exhibit 16	Yes

ECF No.	Exhibit Number	Filing Name	Proposed Redactions (Yes/No)
548-17	C	Exhibit 17	Yes
548-18	D	Exhibit 18	Yes
548-19		Exhibit 19	No
548-20		Exhibit 20	No
548-21	F	Exhibit 21 <i>[Duplicate of ECF No. 545-4.]</i>	Yes
548-22	G	Exhibit 22 <i>[Duplicate of ECF No. 539-4.]</i>	Yes
548-23	H	Exhibit 23	Yes
548-24	I	Exhibit 24	Yes
548-25		Exhibit 25	No
548-26		Exhibit 26	No
548-27		Exhibit 27	No
548-28		Exhibit 28	No
548-29		Exhibit 29	No
548-30		Exhibit 30	No
548-31		Exhibit 31	No
548-32	K	Exhibit 32	Yes
548-33		Exhibit 33	No
548-34		Exhibit 34	No
548-35	O	Exhibit 35 <i>[Duplicate of ECF No. 549-2]</i>	Yes
548-36		Exhibit 36	No
548-37		Exhibit 37	No
548-38		Exhibit 38	No
548-39		Exhibit 39	No
548-40		Exhibit 40	No
548-41		Exhibit 41	No
548-42		Exhibit 42	No
548-43		Exhibit 43	No
548-44		Exhibit 44	No
548-45		Exhibit 45	No